







INVESTOR IN PEOPLE

PRIORITY	H=
DOCUMENT	HX
SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)	

The Patent Office Concept House Cardiff Road REC'D 1 1 OCT 2000 Newport South Wales **WIPO PCT**

NP10-8QQ

10/069202

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before reregistration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated

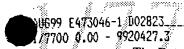
08 SEP 2000

Sparkar

THIS PAGE BLANK (USPTO)

Patents Act 1977 de 16)

Patent Office



The Patent Office

Cardiff Road Newport Gwent NP9 1RH

Request for grant of a patent
(See proviotes on the back Spinis form) You can also get
a planatory leather from the Patent Office to help

Your RJW/BP5674320

C. Patent application number (The Patent Office will fill in this part)

127 AUG 1999

9920427.3

3. Full name, address and postcode of the or of each applicant (underline all surnames)

THE UNIVERSITY OF PORTSMOUTH HIGHER
EDUCATION CORPORATION
UNIVERSITY HOUSE
WINSTON CHURCHILL AVENUE
PORTSMOUTH

Patents ADP number (if you know it) 759228 000 \

If the applicant is a corporate body, give the country/state of its incorporation

ENGLAND

PO1 2UP

4. Title of the invention

COMPOUNDS

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Patents ADP number (if you know it)

MEWBURN ELLIS

YORK HOUSE 23 KINGSWAY LONDON WC2B 6HP

109006

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number Country

Priority application number (if you know it)

Date of filing (day / month / year)

 If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application Number of earlier application

Date of filing (day / month /year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant,

c) any named applicant is a corporate body. See note (d)) YES

Pate	ents Form 1/77			
9.	Enter the number of sheets y of the following items you are filing th this form. Do not count copies of the same document			
	Continuation sheets of this form	0	· .	
	Description	43		
	Claim(s)	12		
	Abstract		1 ,	
	Drawing(s)	0		
10.	If you are also filing any of the following, state how many against each item			
	Priority documents	o .		
	Translations of priority documents	0		
	Statement of inventorship and right to grant of a patent (Patents Form 7/77))1 /		
	Request for preliminary examination and search (Patents Form 9/77)	0		
	Request for substantive examination (Patents Form 10/77)	0 .		
	Any other documents (Please specify)	0		
11.		I/We request the grant of a patent on the basis of this application.		
		Signature Muslim (14	Date 27 August 1998	
12	Name and daytime telephone number of person to	ROBERT J WATSON	0207 240 4405	

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed it it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505. a)
- Write your answers in capital letters using black ink or you may type them. b)
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper c) and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.

12. Name and daytime telephone number of person to

contact in the United Kingdom

- Once you have filled in the form you must remember to sign and date it. e)
- For details of the fee and ways to pay please contact the Patent Office.

COMPOUNDS

This invention relates to cyclopropylindoles (CPI) (which term is used to encompass cyclopropylbenzindoles (CBI)) compounds and their 5-precursors, to-methods of synthesizing these compounds on solid supports, and to compounds of utility therein. This invention further relates to collections of these compounds, and methods for identifying and isolating CPI and precursor compounds with useful and diverse activities from such collections.

10

Background to the invention

A large number of both synthetic and naturally occurring low molecular weight ligands are known that interact with DNA via a number of different mechanisms, including covalent or non-covalent 15 interaction in the minor or major grooves, intercalation between base pairs or other types of non-specific interactions.

Of the class of ligands which interact with the minor groove, GC specific ligands include Chromomycin, pyrrolo[2,1-

- 20 c][1,4]benzodiazepines (PBDs), Mitomycins and Ecteinascidins. Of these, all but Chromomycin form a covalent bond with the DNA. Of AT specific ligands, cyclopropylindoles form covalent bonds, whilst compounds such as distamycin and netropsin do not.
- 25 Cyclopropylindole (CPI) compounds are a class of highly potent antitumour antibiotics which includes the natural products CC-1065 (V.L. Reynolds et al, J. Antibiot., 39, 1986, 319-314) and the duocarmycins (D.L. Boger, Pure & Appl. Chem., 66, 1994, 837-844), having IC₅₀s in the low pM range. They are of the general structures 30 A and B:

2

Studies with compounds that model the binding subunit have shown that the more stable open chain seco-precursors (e.g. A) are as potent as the cyclopropylindole compounds (e.g. B). Further, ring closure is not essential for DNA binding.

A number of synthetic analogues of the natural products have been prepared in which the oxygen of A is protected as a carbamate that must be cleaved (by non-specific enzymatic hydrolysis) for activity. Further analogues of a similar type are disclosed in W088/04659 and 10 W091/16324. Analogues where the 6 substituent is N or S are disclosed in W0 97/07097 and WO 98/11101.

Compounds having biological activity can be identified by screening collections of compounds (i.e. libraries of compounds) produced

15 through synthetic chemical techniques. Such screening methods include methods wherein the library comprises a plurality of compounds synthesized at specific locations on the surface of a solid support where a receptor is appropriately labelled to identify binding to the compound, e.g., fluorescent or radioactive labels.

20 Correlation of the labelled receptor bound to the support with its location on the support identifies the binding compound (US 5,143,854).

Central to these methods is the screening of a multiplicity of 25 compounds in the library and the ability to identify the structures of the compounds which have a requisite biological activity. In order to facilitate synthesis and identification, the compounds in the library are typically formed on solid supports. Usually each such compound is covalently attached to the support via a cleavable 30 or non-cleavable linking arm. The libraries of compounds can be screened either on the solid support or as cleaved products to identify compounds having good biological activity.

Disclosure of the Invention

35

The present invention provides CPI compounds with structures that allow them to be joined to combinatorial chains, as well as combinatorial libraries containing CPIs themselves.

A first aspect of the present invention relates to compounds of formula I:

$$\begin{array}{c}
X \\
R_2 \\
\hline
A \\
A \\
B \\
5 \\
6 \\
R_7
\end{array}$$
(I)

wherein X is an electrophilic leaving group;

Y is selected from NH-Prot, O-Prot, S-Prot, NO2, NHOH, N3, NHR, NRR,

5 N=NR, N(O)RR, NHSO2R, N=NPhR, SR or SSR, where Prot represents a protecting group;

A and B collectively represent a fused benzene or pyrrole ring (in either orientation), which is optionally substituted by up to respectively 4 or 2 groups independently selected from R, OH, OR,

10 halo, nitro, amino, Me₃Sn, CO₂H, CO₂R;

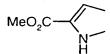
 R_1 is a nitrogen protecting group, where if Y includes a protecting group, these protecting groups are orthogonal;

 R_2 and R_7 are independently selected from H, R, OH, OR, halo, nitro, amino, Me₃Sn;

- 15 wherein R is selected from
 - (a) a lower alkyl group having 1 to 10 carbon atoms,
 - (b) an aralkyl group (i.e. an alkyl group with one or more aryl substituents), preferably of up to 12 carbon atoms;

the alkyl group of (a) or (b) optionally containing one or more

- 20 carbon-carbon double or triple bonds, which may form part of a conjugated system; and
 - (c) an aryl group, preferably of up to 12 carbon atoms; and wherein R is optionally substituted by one or more halo, hydroxy, amino, or nitro groups, and optionally contains one or more hetero
- 25 atoms, which may form part of, or be, a functional group; except that when R_1 is Boc, Y is NO_2 , X is Cl, and R_2 and R_3 are H, then A and B do not collectively represent either an unsubstituted benzene ring or:



If R is an aryl group, and contains a hetero atom, then R is a heterocyclic group. If R is an alkyl chain, and contains a hetero atom, the hetero atom may be located anywhere in the alkyl chain, e.g. -O-C₂H₅, -CH₂-S-CH₃, or may form part of, or be, a functional 5 group, e.g. carbonyl, hydroxy.

R is preferably independently selected from a lower alkyl group having 1 to 10 carbon atoms, or an aralkyl group, preferably of up to 12 carbon atoms, or an aryl group, preferably of up to 12 carbon 10 atoms, optionally substituted by one or more halo, hydroxy, amino, or nitro groups. It is more preferred that R is independently selected from lower alkyl groups having 1 to 10 carbon atoms optionally substituted by one or more halo, hydroxy, amino, or nitro groups. It is particularly preferred that R is an unsubstituted 15 straight or branched chain alkyl group, having 1 to 10, preferably 1 to 6, and more preferably 1 to 4, carbon atoms, e.g. methyl, ethyl, propyl, butyl.

These compounds are useful in the synthesis of collections of CBI 20 and CPI precursors. Compounds of formula I (including those in the proviso) can be attached to a solid support, e.g. via a connecting link which may comprise a chain of combinatorial units. This is a further aspect of the invention, i.e. the use of compounds of formula I in methods of combinatorial chemistry synthesis, wherein 25 the compound of formula I is joined to a solid support by a chain comprising at least one combinatorial unit.

The term 'protecting group' (and more specifically 'nitrogen protecting group') has the meaning usual in synthetic chemistry, 30 particularly for 'nitrogen protecting group', in synthetic peptide chemistry. It means any group which may be covalently bound to the protected atom of the CBI or CPI grouping, and permits reactions to be carried out upon the molecule containing this grouping without

- its removal. Nevertheless, it is able to be removed from the protected atom without affecting the remainder of the molecule. Suitable protecting groups for the present invention include Fmoc (9-fluorenylmethoxycarbonyl), Nvoc (6-nitroveratryloxycarbonyl),
- -5-Teoc-(2-trimethylsilylethyloxycarbonyl), Troc-
 - (2,2,2-trichloroethyloxycarbonyl), Boc (t-butyloxycarbonyl), CBZ (benzyloxycarbonyl), Alloc (allyloxycarbonyl), Psec (2(-phenylsulphonyl)ethyloxycarbonyl), (t-butyl ether), Benzyl ether, Silyl ether, MOM (methoxy methyl ether), MEM (2-methoxy
- 10 ethoxy methyl ether) or acetate. Other suitable groups are described in Protective Groups in Organic Synthesis, T Green and P Wuts, published by Wiley, 1991, which is incorporated herein by reference.
- 15 It is preferred that the nitrogen protecting group has a carbamate functionality where it binds to the nitrogen atom to be protected.

The term 'orthogonal' in relation to 'protecting groups' has the meaning usual in synthetic chemistry. It means that one protecting 20 group may be selectively removed without affecting the other protecting group. This is achieved by using protecting groups which are sensitive to different removal conditions.

Y is preferably NH-Prot, O-Prot, S-Prot, and more preferably NH-25 Prot.

It is preferred that X is either halogen or OSO_2R , where R is as defined earlier. A halogen group means a fluoro, chloro, bromo or iodo group. It is more preferred that X is Cl.

30

It is further preferred that the 4.5 fused ring is substituted by $-CO_2R$ in the 2 or 3 position if it is a the benzene ring, and the 2 position if it is a pyrrole ring, e.g.

where R_2 and R_7 are preferably H, with the proviso that Y is not NO_2 when R_1 is Boc, R_2 and R_7 are H, and X is Cl.

A second aspect of the present invention relates to compounds of the 5 formula III:

wherein X, Y, A, B, R_2 and R_7 are as defined in the first aspect; T is a combinatorial unit;

and n is a positive integer, where if n is greater than 1, each T may be different;

10 L is a linking group, or less preferably a single bond; and O is a solid support.

A third aspect of the present invention relates to compounds of formula **III'**:

- where A, B, R_2 , R_7 , T, n, L and O are as defined in the second aspect; and Y' is NH, O or S.
- -5-Compounds of this aspect are obtainable from compounds of the secondaspect by removal of the protecting group preferably carbamate in Y (if present), or by other appropriate reactions, e.g. reduction and/or basic conditions. The cyclisation may also occur spontaneously. A fourth aspect of the present invention relates to 10 compounds of formula II:

$$\begin{array}{c} & & \\$$

wherein X, Y, A, B, R_2 , R_7 , T and n are as defined in the second aspect of the invention.

15 Compounds of this aspect are obtainable by cleavage of the linking group of the appropriate compound of the second aspect.

A fifth aspect of the present invention relates to compounds of the formula II':

$$\begin{array}{c}
A \\
B \\
R_7
\end{array}$$
(II')

20 wherein A, B, T, n, R₂ and R₃ are as defined in the fourth aspect of the invention; and Y' is NH, NR, O, or S.

Compounds of this aspect are obtainable by cleavage of the linking group of the appropriate compound of the third aspect (see below). Alternatively, they are obtainable from compounds of the fourth aspect by removal of the protecting group in Y (if present), or by other appropriate reactions, e.g. reduction and/or basic conditions. The cyclisation may also occur spontaneously.

A sixth aspect of the present invention relates to compounds of formula \mathbf{v} :

10

where A, B, Y, R_1 , R_2 , R_7 , and X are defined in the first aspect of the invention (including the excluded compounds) T, n, L and O are as defined in the second aspect of the present invention.

15 A seventh aspect of the present invention relates to compounds of formula V':

where A, B, R_{1} , R_{2} , R_{7} , T, n, L and O are as defined in the sixth aspect; and

20 Y' is NH, NR, O or S.

Compounds of this aspect are obtainable from compounds of the sixth

aspect by removal of the protecting group in Y (if present), or by other appropriate reactions, e.g. reduction and/or basic conditions. The cyclisation may also occur spontaneously.

5 An eighth aspect of the present invention relates to compounds of formula IV:

$$R_{2}$$
 R_{2}
 R_{3}
 R_{4}
 R_{7}
 R_{7}
 R_{7}

wherein A, B, X, Y, T, n, R_1 , R_2 and R_7 are as defined in the sixth aspect of the invention.

10 Compounds of this aspect are obtainable by cleavage of the linking group of the appropriate compound of the sixth aspect.

A ninth aspect of the present invention relates to compounds of formula IV':

$$R_2$$
 $N-R_1$
 R_7
 (IV')

15

wherein A, B, T, n, R_1 , R_2 and R_7 are as defined in the eighth aspect of the invention; and Y' is NH, NR, O, or S.

20 Compounds of this aspect are obtainable by cleavage of the linking group of the appropriate compound of the seventh aspect (see below). Alternatively, they are obtainable from compounds of the eighth aspect by removal of the protecting group in Y (if present), or by other appropriate reactions, e.g. by redcution and/or basic

conditions. The cyclisation may also occur spontaneously.

A tenth aspect of the invention is the preparation of compounds according to either the second or sixth aspects by reaction of a 5 compound of formula VI:

$$-L - (-T -)_n W$$
 (VI)

with a compound of formula I according to the first aspect, where T, n, L and O are as defined in these aspects, and W is H or an atom or group for providing a functional group capable of reaction with - COOH or -NH₂. This reaction will include the necessary protection 10 and deprotection steps so as to selectively achieve a compound according to the second or sixth aspect.

An eleventh aspect of the invention relates to compounds of formula **VII**:

15 wherein:

O, T, and L are as defined in the second aspect of the invention; n and m are positive integers, or one of them may be zero; T' is a combinatorial unit, where each T' may be different if m is greater than 1;

20 T'' is a combinatorial unit which provides a site for the attachment of D;

D is selected from:

(a)

(ii)
$$R_7$$

$$R_2$$

$$R_3$$

$$R_4$$

$$R_7$$

$$R_7$$

$$R_7$$

$$R_8$$

$$R_7$$

$$R_8$$

$$R_7$$

$$R_8$$

$$R_7$$

$$R_8$$

$$R_7$$

$$R_8$$

$$R_7$$

wherein A, B, Y, Y', R_2 and R_7 are as defined in the first or second aspects of the invention; or

(b)

wherein X' is selected from CO, NH, S, or O;

G is O, S, NH, or a single bond;

5 R'₂ and R'₃ are independently selected from: H, R, OH, OR, =0, =CH-R, =CH₂, CH₂-CO₂R, CH₂-CO₂H, CH₂-SO₂R, O-SO₂R, CO₂R, COR and CN, and there is optionally a double bond between C₂ and C₃;

 R'_{6} , R'_{7} , and R'_{9} are independently selected from H, R, OH, OR, halo, nitro, amino, $Me_{3}Sn;$

10 R', is either H or R;

Q' is S, O or NH;

R'10 is a nitrogen protecting group;

Y" is a divalent group such that HY = R;

p is a positive integer, where if p is greater than 1, for each

15 repeating unit, the meaning of T, T', T" and D and the values of n and m are independently selected; and

E is selected from the same possibilities as D, provided that at least one group D or E is selected from (a).

20 If, for example, D terminates with CO then the site on T" may be NH, and if D terminates with NH, S or O, then the site on T" may be CO.

A twelfth aspect of the invention relates to compounds of formula (VIII):

$$H = \left(-T' - \frac{D}{m} T'' - \left(-T - \frac{D}{m} \right) \right) E$$
 (VIII)

wherein L, T, T', T", D, E, n, m and p are as defined in the eleventh aspect of the invention.

A thirteenth aspect of the invention relates to compounds of formula 5 (IX):

$$\begin{array}{c|c} & & & \\ & & & \\ \hline & & \\ \hline & & & \\ \hline & & \\$$

wherein O, L, T, T', T", n, m and p are as defined in the eleventh aspect of the invention;

T'" is a combinatorial unit;

 ${\bf q}$ is a positive integer, where if ${\bf q}$ is greater than 1, each T'" may 10 be different; and

 $\mathbf{E}_{\mathbf{a}}$ is selected from the group (a) of E as defined in the eleventh aspect of the invention;

where if p is greater than 1, for each repeating unit the meaning of T, T', T", T"' and the values of n, m and q are independently 15 selected.

A fourteenth aspect of the invention relates to compounds of formula (X):

$$H = \left(\begin{array}{c} T' \\ \hline \end{array} \right)_{m} T'' - \left(\begin{array}{c} T \\ \hline \end{array} \right)_{n} E_{a}$$
 (X)

wherein L, T, T', T", T"', E_{a} , n, m, p and q are as defined in the 20 thirteenth aspect of the invention.

Solid support

The term 'solid support' refers to a material having a rigid or semi-rigid surface which contains or can be derivatized to contain 10 reactive functionalities which can serve for covalently linking a compound to the surface thereof. Such materials are well known in the art and include, by way of example, silicon dioxide supports containing reactive Si-OH groups, polyacrylamide supports, polystyrene supports, polyethyleneglycol supports, and the like.

15 Such supports will preferably take the form of small beads, pins/crowns, laminar surfaces, pellets, disks. Other conventional forms may also be used.

Linker group

20 The linking groups suitable for the present application are ones which usually provide in the structure:

$$\bigcirc$$
L $-$

at least one covalent bond which can be readily broken by specific chemical reactions (or by light or changes in pH) thereby providing for liberation of compounds free from the solid support. The

25 methods employed to break the covalent bond are selected so as to be specific for the desired bond breakage thereby preventing unintended reactions from occurring elsewhere on the complex. The linking group is selected relative to the synthesis of the compounds to be formed on the solid support so as to prevent premature cleavage of

30 this compound from the solid support as well as to limit interference by any of the procedures employed during compound synthesis on the support.

Examples of resins incorporating cleavable linking groups are set 35 out in the table below, which also indicates the groups that can be

immobilised thereon, along with the suggested cleavage methods for the linking group. Such resins are commercially available (e.g. from NovaBiochem).

5_	Linker/Resin	lmmobilises-	— Cleavage
	Type		Method
	2-Chlorotrityl	RNH₂, RCO₂H,	1-50% TFA
	chloride	ROH, RSH	
	Trityl chloride	RNH₂, RCO₂H,	1-5% TFA
		ROH, RSH	
10	2-Methoxytrityl	RNH₂, RCO₂H,	1-5%
	chloride	ROH, RSH	
	Rink amide	RCO₂H	95% TFA
	resin		
	Sieber amide	RCO₂H	1% TFA
15	resin		
	4-Sulfamyl-	RCO₂H	Alkylation
	benzoyl		/amines
	Wang resin	ROH, ArOH,	15-95% TFA or
		RNH₂, RCO₂H	DDQ or CAN
	HMPB-BHA	ROH, ArOH,	1% TFA
		RCO₂H	
20	Bromoethyl	RNH₂, RCO₂H,	hν
	photolinker	ROH, RSH	
ĺ	Hydroxy ethyl	RCO₂H	hν
l	photolinker		
	Aminoethyl	RCO₂H	hν
25	photolinker		

Structures

For CPI precursors the most preferred linking group is one which 5 may be deemed photolytically. Further the Rink amide linker is particularly suitable.

It is also possible that the linking group is a simple functionality provided on the solid support, e.g. amine, and in this case the linking group may be not be readily cleavable. This type of linking group is useful in the synthesis of large split and mix libraries which will be subjected to on-bead screening (see below), where cleavage is unnecessary. Such resins are commercially available from a large number of companies including NovaBiochem, ChemTech and Rapp Polymere. These resins include amino-Tentagel and aminomethylated polystyrene resin.

10

Combinatorial Unit

The term 'combinatorial unit' means any monomer unit which can be used to build a chain attached to the solid support, usually by a linking group. Examples of molecules suitable for such chain

- 15 building are found in Schreiber et al. (JACS, 120. 1998, pp.23-29), which is incorporated herein by reference. An important example of a unit is an amino acid residue. Chains may be synthesised by means of amine-protected amino acids. Fmoc protected amino-acids are available from a number of sources, such as Sigma and Nova Biochem.
- 20 Both natural and unnatural amino acids can be used, e.g. D- and L-amino acids and heterocyclic amino acids. In particular, heterocyclic amino acids of the type found in the construction of netropsin and distamycin are of interest because of their DNA-recognition properties.

25

Amine units can be used to make up peptoids: see Soth, M.J. and Nowick, J.S. 1997, Unnatural oligomer libraries, *Curr. Opin, Chem. Biol.* 1, no. 1: 120-129; Zuckermann *et al.*, 1994, Discovery of Nanomolecular Ligands for 7-Transmembrane G-Protein-Coupled

- 30 Receptors from a Diverse N-(Substituted)glycine Peptoid Library, Journal of Medicinal Chemistry 37: 2678-85; Figliozzi, GMR et al., 1996, Synthesis of N-substituted Glycine Peptoid Libraries, Methods in Enzymology, 267: 437-47; Simon, R J et al., 1992, Peptoids: A Modular Approach to Drug Discovery, Proc. Natl. Acad. Sci. USA,
- 35 89:9367-71; which are all incorporated herein by reference.

Other combinatorial units include PNAs (peptidonucleic acids): PE Nielsen, et al, Science, 1991, 254, 1497; M Egholm, et al, Nature,

1993, 365, 566; M Egholm et al, JACS, 1992, 114, 1895; S C Brown, et al, Science, 1994, 265, 777; 5. K Saha, et al, JOC, 1993, 58, 7827; oligoureas: Burgess K, et al, 1995, Solid Phase Synthesis of Unnatural Biopolymers Containing Repeating Urea Units. Agnew. Chem. 5 Int. Ed. Engl 34, no. 8:907; Burgess K, et al, 1997, Solid Phase Synthesis of Oligoureas; Journal of the American Chemical Society 119: 1556-64; and oligocarbamates: Moran E J et al, 1995, Novel Biopolymers for Drug Discovery. Biopolymers (Peptide Science); John Wiley and Sons 37: 213-19; Cho C Y et al, 1993, An Unnatural 10 Biopolymer. Science 261: 1303-5; Paikoff S F et al, 1996, The Solid Phase Synthesis of N-Alkylcarbamate Oligomers. Tetrahedron Letters 37, no. 32: 5653-56. All of these documents are incorporated herein by reference.

15 A further aspect of the present invention relates to combinatorial units having formula I, where the fused ring collectively represented by A and B is substituted by CO₂R.

Further combinatorial units of relevance to this invention are those 20 of formulae (XIa/b):

wherein R'₃, R'₆, R'₇, R'₉, G and Y' are as defined in the eleventh aspect of the invention, and G' and Y" are independently selected from the possible groups for G and Y' respectively. In order to synthesise combinatorial chains containing such combinatorial units, 25 the units may need to be joined to the chain in their protected form (see definition of groups D(b) above). It is possible that the combinatorial units may remain in their protected form until the compound is cleaved from the solid support, or until the other components in the compound are deprotected.

- 15 chain of 5 combinatorial units with 17 possibilities for each unit, the total number of members in the library would be 1.4 million. A library may therefore comprise more than 1 000, 5 000, 10 000, 100 000 or a million compounds, which may be arranged as described below.
- 20 In the case of free compounds (formulae II, II', IV, IV', VIII, X) the individual compounds are preferably in discrete volumes of solvents, e.g. in tubes or wells. In the case of bound compounds (formulae III, III', V, V', VII, IX) the individual compounds are preferably bound at discrete locations, e.g. on respective
- 25 pins/crowns or beads. The library of compounds may be provided on a plate which is of a suitable size for the library, or may be on a number of plates of a standard size, e.g. 96 well plates. If the number of members of the library is large, it is preferable that each well on a plate contains a number of related compounds from the
- 30 library, e.g. from 10 to 100. One possibility for this type of grouping of compounds is where only a subset of the combinatorial units, or substituents, are known and the remainder are randomised; this arrangement is useful in iterative screening processes (see below). The library may be presented in other forms that are 35 well-known.

A further aspect of the present invention is a method of preparing a diverse collection, or library of compounds, as discussed above. If

the diversity of the library is in the combinatorial units, then the library may be synthesised by the stepwise addition of protected combinatorial units to a CPI/CBI precursor core, each step being interposed by a deprotection step. Such a method is exemplified 5 later. Libraries of this type can be prepared by the method known as "split and mix" which is described in Furka, A; Sebestyen, F; Asgedom, M and Dibo, G; General Method of Rapid Synthesis of Multicomponent Peptide Mixtures; International Journal of Peptide and Protein Research; 1991, 37, 487-193, which is incorporated herein by 10 reference. If the diversity of the library is in the substituent groups, the library may be synthesised by carrying out the same synthetic methods on a variety of starting materials or key intermediates, which already possess the necessary substituent

15

patterns.

30

This effect may simply be the cytotoxicity of the compounds in question against cells or the binding of the compounds to nucleic acids. In the case of protein-protein or nucleic acid-protein interactions, the effect may be the disruption of the interaction 35 studied.

The binding of the compounds to nucleic acids may be assessed by labelling oligomers which contain a target sequence, and measuring

The labelling may either be radiolabelling, or alternatively be labels detectable under visible or ultra-violet light. If this latter form of screening is carried out on compounds bound to solid supports which are in separate locations, the screening for results can be carried out visually under a microscope. A similar technique is described in detail in DNA-Einding ligands from peptide libraries containing unnatural amino acids, Lescrinier et al., Chem Eur J, 1998, 425-433. These techniques are particularly suited to a large library made by the "split and mix" method described above.

Protein-protein interactions can be measured in a number of ways, e.g. FRET (fluorescence resonance energy transfer) which involves

15 labelling one of the proteins with a fluorescent donor moiety and the other with an acceptor which is capable of absorbing the emission from the donor; the fluorescence signal of the donor will be altered depending on the interaction between the two proteins. Another method of measuring protein-protein interactions is by enzymatic

20 labelling, using, for example, horseradish peroxidase.

The screening process may undergo several iterations by selecting the most active compounds, or group of compounds, tested in each iteration; this is particular useful when testing arrays of wells

25 which include mixtures of related compounds. Furthermore, if the wells contain compounds for which only a subset of the combinatorial units, or substituents, are known, but the rest are randomised, subsequent iterations can be carried out by synthesising compounds possessing the selected known (and successful) combinatorial unit, or substituent, pattern, but with further specified combinatorial units, or substituents, replacing the previously randomised combinatorial units, or substituents, adjacent the already known pattern; the remaining combinatorial units, or substituents, are randomised as in the previous iteration. This iterative method enables the

35 identification of active members of large libraries without the need to isolate every member of the library.

A further feature of this aspect is formulation of selected compound

or compounds with pharmaceutically acceptable carriers or diluents.

22

In yet further aspects, the invention provides a pharmaceutical composition comprising a compound of formula II, II', IV, IV', VIII 5 or X and a pharmaceutically acceptable carrier or diluent; and the use of a compound of formula II, II', IV, IV', VIII or X in the manufacture of a medicament for the treatment of a gene-based disease, or a bacterial, parasitic or viral infection. Gene-based disease include neoplastic disease and, for example, Alzheimer's 10 disease.

Compounds of formula II, II', IV, IV', VIII or X may be used in a method of therapy against a gene-based disease, such as cancer or Alzheimer's disease, or a viral, parasitic or bacterial infection.

15

Another aspect of the present invention relates to the use of compounds of formula III, III', V, V', VII or IX in diagnostic methods. A compound of formula III, III', V, V', VII or IX which binds to an identified sequence of DNA or a protein known to be an

- 20 indicator of a medical condition can be used in a method of diagnosis. The method may involve passing a sample, e.g. of appropriately treated blood or tissue extract, over an immobilised compound of formula III, III', V, V', VII or IX, for example in a column, and subsequently determining whether any binding of target
- 25 DNA to the compound of formula III, III', V, V', VII or IX has taken place. Such a determination could be carried out by passing a known amount of labelled target DNA known to bind to compound III, III', V, V', VII or IX through the column, and calculating the amount of compound III, III', V, V', VII or IX that has remained 30 unbound.

A further aspect of the present invention relates to the use of compounds of formula II, II', IV, IV', VIII or X in target validation. Target validation is the disruption of an identified

35 DNA sequence to ascertain the function of the sequence, and a compound of formula II, II', IV, IV', VIII or X can be used to selectively bind an identified sequence, and thus disrupt its

function.

Another aspect of the present invention relates to the use of compounds of formulae II, II', IV, IV', VIII or X in functional fenemics—to ascertain—the biological function of genes, by blocking this biological action.

Preferred Synthetic Strategies

Compounds of formula I can be synthesised by applying the methods 10 described below. A review of methods of synthesising CPIs was carried out by Boger (Boger, D.L. et al., J.A. Chem. Rev. 1997, 97, 787-828)

Boger Synthesis of N-BOC-CBI

- 15 Selective C4 iodination of N-BOC-4-(benzyloxy)naphthylamine, readily accessible in three steps from the commercially available 1,3-dihydroxynaphthalene, followed by N-alkylation with allyl bromide provided the required substrate for the 5-exo-trig aryl radical-alkene cyclization (Boger, D.L. et al., O. J. Am. Chem.
- 20 Soc. 1989, 111, 6461-6463. Boger, D.L. at al., O. J. Org. Chem. 1990, 55, 5823-5832) (Scheme 1). Treatment with Bu₃SnH-TEMPO (Boger, D.L.; McKie, J.A. J. Org. Chem. 1995, 3, 1429-1453) and subsequent reduction with Zn afforded the 3-(hydroxymethyl)indoline CBI precursor. Conversion to the primary chloride and catalytic
- 25 hydrogenolysis of the benzyl ether, followed by direct resolution on a semipreparative chiral HPLC column afforded both enantiomers (Boger, D.L.; Yun, W. J. Am. Chem. Soc. 1994, 116, 7996-8006). Subsequent spirocyclization completed the synthesis.

Scheme 1

Reagents and conditions: a: NH₃, BOC₂O; BnBr; b: NIS; c: AllylBr, NaH; d: Bu₃SnH, TEMPO; e: Zn; f: Ph₃P-CCl₄; g: H₂, Pd-C; h: NaH

Cava synthesis of CBI

Cava's route to CBI uses a heterocyclization procedure involving a heterostilbene to establish the tricyclic CBI core, followed by introduction of an additional functionalized carbon necessary for 10 formation of the cyclopropane (Drost, K.J.; Cava, M.P. J. Org. Chem. 1991, 56, 2240-2244) (Scheme 2).

5

Scheme 2

$$\begin{array}{c} P(OMe)_2 + NBn & a \\ OMe & CHO & OMe \\ \end{array}$$

$$\begin{array}{c} R \\ OMe & OMe \\ \end{array}$$

$$\begin{array}{c} R = NMe_2 \\ f = R = CO_2H \\ \end{array}$$

$$\begin{array}{c} R = NMe_2 \\ f = R = CO_2H \\ \end{array}$$

ÓМе

h
$$R = CO_2Me$$

R = CO_2H

ÒΜе

Reagents and conditions: a: 1) t-BuOK; 2) p-nitrobenzoic acid, Et₃N, Pd-C, hn; b: 1) NaBH₃CN; 2) ClCO₂CH₂CCl₃; c: 1) DDQ; 2) NaBH4; d: Me₂NH, CH₂O; e: CH₃I; NaCN; f: NaOH; g: 1) MeO₂CCl, Et₃N; 2) NaBH₃CN; 3) TCBOC-Cl, Et₃N; h: NaOH; i: 1) (COCl)₂; 2) mercaptopyridine-N-

5 oxide, DMAP, CCl.; j: BCl. SMe,; Et,N

Thus, photolysis of the alkene formed from condensation of the α methoxybenzyl phosphonate with N-benzylpyrrole-2-carboxaldehyde in 10 the presence of Pd-C provided the tricylic CBI core (Rawal, V.H.; Jones, R.J.; Cava, M.P. J. Org. Chem. 1987, 52, 19-28). A four step debenzylation sequence followed by a regioselective alkylation was employed to provide the key CBI intermediate.

15 Aristoff synthesis of CBI (Scheme 3)

An alternative approach to the CBI subunit was described by Aristoff and coworkers (Aristoff, P.A.; Johnson, P.D.; Sun, D. J. Med. Chem. 1993, 36, 1956-1963. Aristoff, P.A.; Johnson, P.D. J. Org. Chem. 1992, 57, 6234-6239). 1-Ally1-2-(benzylamino)-1-

- 20 hydroxydihydronaphthalenone was prepared in two steps from 1,4naphthoquinone. Reduction and re-aromatization was accomplished by treatment with BOC2O followed by sodium dithionite. The racemic diol was prepared by OsO4-catalyzed dihydroxylation. Deprotection of the benzylamine, N- and O-acetylation, acetate hydrolysis, followed by
- 25 selective mesylation of the primary alcohol and TMS ether protection of the secondary alcohol preceded 6-membered ring closure upon treatment with NaH. Alcohol deprotection and resolution by chromatographic separation of the diastereomeric (R)-O-acetylmandelate esters provided the optically active materials.

Scheme 3

i
$$R_1 = H$$
, $R_2 = BOC$
 $R_1 = Ms$, $R_2 = BOC$
 $R_1 = Ms$, $R_2 = H$

Reagents and conditions: a: BnNH₂; b: Allyl MgBr; c: BOC₂O; Na₂S₂O₄; d: OsO₄, NMO; e: HCO₂H, Pd-C; Ac₂O; f: K₂CO₃; g: TMSCl, pyridine; h: NaH; j: K₂CO₃; k: (R)-O-acetylmandelic acid, EDCI; Resolution; K₂CO₃; i: MsCl, Et₃N; 1: TFA; m: NaH

5

Primary alcohol activation, BOC deprotection, and transannular cyclization upon treatment with NaH provided the CBI accompanying hydrolysis of the intermediate N-Ac-CBI by water present in the reaction mixture.

10

Any of these three routes may be adapted to synthesise compounds according to the first aspect of the present invention, for example by starting with appropriately substituted starting materials (which may be protected), or by introducing substituents at a later 15 stage.

Preferred Synthetic Strategies of pyrrolo[2,1c][1,4]benzodiazepines

A key step in a preferred route to compounds corresponding to the 20 group D(b) of the eleventh aspect of the invention or combinatorial units of formulae XIa and XIb is a cyclisation process to produce the B-ring, involving generation of an aldehyde (or functional equivalent thereof) at what will be the 11-position, and attack thereon by the pro-10-nitrogen:

25 In this structure, D represents XY, or a masked form thereof. The "masked aldehyde" -CPQ may be an acetal or thioacetal (possibly cyclic), in which case the cyclisation involves unmasking. Alternatively, the masked aldehyde may be an aldehyde precursor such as an alcohol -CHOH, in which case the reaction involves 30 oxidation, e.g. by means of TPAP or DMSO (Swern oxidation).

The masked aldehyde compound can be produced by condensing a corresponding 2-substituted pyrrolidine with a 2-nitrobenzoic acid:

The nitro group can then be reduced to -NH₂ and protected by reaction with a suitable agent, e.g. a chloroformate, which 5 provides the removable nitrogen protecting group in the desired compound.

A process involving the oxidation-cyclization procedure is illustrated in scheme 4 (an alternative type of cyclisation will be 10 described later with reference to scheme 5).

Scheme 4

If R'₁₁ is other than hydrogen, the final compound may be prepared by direct etherification of the alcohol. Compounds with Q' = S can 15 be prepared by treatment of the corresponding alcohol with R'₁₁SH, and a catalyst (usually a Lewis Acid such as Al₂O₃). If Q' = NH,

then these compounds can be prepared by reacting the alcohol with an amine $R'_{11}NH$ and a catalyst (usually a Lewis Acid).

Exposure of the alcohol **B** (in which the 10-nitrogen is generally 5-protected as an amide carbamate) to tetrapropylammonium perruthenate (TPAP)/N-methylmorpholine N-oxide (NMO) over A4 sieves results in oxidation accompanied by spontaneous B-ring closure to afford the desired product. The TPAP/NMO oxidation procedure is found to be particularly convenient for small scale reactions while 0 the use of DMSO-based oxidation methods, particularly Swern oxidation, proves superior for larger scale work (e.g. > 1 g).

The uncyclized alcohol **B** may be prepared by the addition of a nitrogen protecting reagent of formula **D**, which is preferably a 15 chloroformate or acid chloride, to a solution of the amino alcohol **C**, generally in solution, generally in the presence of a base such as pyridine (preferably 2 equivalents) at a moderate temperature (e.g. at 0°C). Under these conditions little or no 0-acylation is usually observed.

20

The key amino alcohol **C** may be prepared by reduction of the corresponding nitro compound **E**, by choosing a method which will leave the rest of the molecule intact. Treatment of **E** with tin (II) chloride in a suitable solvent, e.g. refluxing methanol, 25 generally affords, after the removal of the tin salts, the desired product in high yield.

Exposure of **E** to hydrazine/Raney nickel avoids the production of tin salts and may result in a higher yield of **C**, although this 30 method is less compatible with the range of possible C and A-ring substituents. For instance, if there is C-ring unsaturation (either in the ring itself, or in R₂ or R₃), this technique may be unsuitable.

35 The nitro compound of formula \mathbf{E} may be prepared by coupling the appropriate o-nitrobenzoyl chloride to a compound of formula \mathbf{F} , e.g. in the presence of K_2CO_3 at $-25\,^\circ C$ under a N_2 atmosphere.

Compounds of formula **F** can be readily prepared, for example by olefination of the ketone derived from L-trans-4-hydroxy proline. The ketone intermediate can also be exploited by conversion to the enol triflate for use in palladium mediated coupling reactions.

The c-nitrobenzoyl chloride is synthesised from the c-nitrobenzoic acid (or alkyl ester after hydrolysis) of formula **G**, which itself is prepared from the vanillic acid (or alkyl ester) derivative **H**. Many of these are commercially available and some are disclosed in 10 Althuis, T. H. and Hess, H. J., J. Medicinal Chem, 20(1), 146-266 (1977).

Alternative Cyclisation (Scheme 5)

Scheme 5

15

In scheme 4, the final or penultimate step was an oxidative cyclisation. An alternative approach, using thioacetal coupling/unmasking, is shown in scheme 5. Mercury-mediated unmasking causes cyclisation to the desired compound.

20

The thioacetal intermediates may be prepared as shown in scheme 2:

the thioacetal protected C-ring [prepared via a literature method: Langley, D.R. & Thurston, D.E., J. Organic Chemistry, 52, 91-97 (1987)] is coupled to the o-nitrobenzoic acid (or alkyl ester after hydrolysis) G using a literature procedure. The resulting nitro compound cannot be reduced by hydrogenation, because of the thioacetal group, so the tin(II) chloride method is used to afford the amine. This is then N-protected, e.g., by reaction with a chloroformate or acid chloride, such as p-nitrobenzylchloroformate.

10 Acetal-containing C-rings can be used as an alternative in this type of route with deprotection involving other methods including the use of acidic, or perhaps Lewis Acid, conditions.

In the above synthesis schemes, the derivatisation of the A-ring is 15 shown as being complete before the compounds are attached to the solid support. This is preferred is the substituents are groups such as alkoxy or nitro. On the other hand, substituent groups such as alkyl or alkenyl could be added to the A-ring after the coupling of the compound to the solid support. This may be 20 achieved by R'₆, R'₇, or R'₉ being easily replaceable groups, such as a halogen atom.

An alternative synthesis approach to those detailed above is to protect the Pro N10 position on the component which will form the 25 A-ring before joining the component which will form the C-ring.

Embodiments of the present invention will now be described by way of example.

Example 1: CBI bound to TG-Carboxy resin

Activation of TG-Carboxy resin with 3-hydroxy-1,2,3-benzotriazin-4(3H)-one (HO-Dhbt)

TG Carboxy resin (Nova-Biochem, 80 mg 0.020 mmol) was suspended and

5 swollen in DMF (1 mL) for 10 minutes. DMF was removed by suction and the resin treated with diisopropyl carbodiimide (DIC) (34 mmL, 10 eq)in of DMF (1 mL). The mixture was shaken for 15 minutes at -15°C (acetone-ice bath), then rinsed with DMF (2 x 1 mL).

The resin was suspended in DMF(1 mL), cooled to -10° C and treated 10 with HO-Dhbt(33 mg, 10 eq). The mixture was shaken for 30 minutes at -10° C, followed by 4 hours shaking at 0°C and was then allowed to stand at 0°C overnight.

The reaction mixture was filtered and the resin washed with DMF (2 \times 1 mL), dichloromethane (2 \times 1 mL), MeOH (2 \times 1 mL) and diethyl ether (1 mL). The activated resin was stored in freezer until ready for use.

Coupling of O-Dhbt activated TG-Carboxy resin with seco-CBI, in presence of DPEA

5 Seco-CBI has a very limited storage time, and for this reason BOC-seco-CBI is deprotected immediately before coupling to the activated resin. BOC-seco-CBI (20 mg 0.06 mmol) was dissolved in HCl in anhydrous ethyl acetate (3M, 2.8 mL). The mixture was kept at 0°C for 30 minutes and then allowed to warm to room temperature 10 over 30 minutes. TLC (4:1 petroleum ether:diethyl ether) revealed complete reaction; the reaction mixture was evaporated under reduced pressure and stored at -20°C.

O-Dhbt activated resin (40 mg) was treated with seco-CBI dissolved 15 in DMF (1 mL). DPEA (3 eq, 7.7 mg, 10 mmL) was added to the suspension and the mixture was shaken for 30 minutes at room temperature. The reaction mixture was filtered and the resin was washed with DMF (1 mL), dichloromethane (1 mL), methanol (1 mL) and diethyl ether (2 x 1 mL). After thorough evaporation of solvents 20 the resin was stored at -20°C.

Example 2: seco-CBI bound to TG-Carboxy resin

Coupling of O-Dhbt activated TG-Carboxy resin with seco-CBI, in absence of base

BOC-seco-CBI (20 mg, 0.06 mmol) was dissolved in HCl solution in 5 anhydrous ethyl acetate (3M, 2.8 mL). The mixture was kept at 0°C for 30 minutes and then allowed to warm to room temperature over 30 minutes. TLC (4:1 = petroleum ether:diethyl ether) revealed complete reaction; the reaction mixture was evaporated at reduced pressure and directly used for the coupling without further 10 purification.

O-Dhbt-activated resin (see Example 1)(40 mg, 0.01 mmol) was treated with seco-CBI dissolved in DMF (1 mL); the mixture was agitated for 1 hour at room temperature. The reaction mixture was 15 then filtered and the resin was washed with DMF (1 mL), dichloromethane (1 mL), methanol (1 mL) and diethyl ether (2x1 mL). After thorough evaporation of excess solvent the resin was stored at -20°C.

20 Example 3 - Synthesis of a CBI - hexapeptide library

General Procedures

General procedure for acetylation/endcapping

After each coupling step the resin was treated with a mixture of 25 pyridine (30%) and acetic anhydride (20%) in dichloromethane, to acetylate any free amino groups that had not been coupled to an

amino acid. In this way the formation of undesirable oligopeptides (carrying less than the expected number of amino acids) could be avoided.

The resin was treated with the acetylating reagent (3 mL) and the 5-slurry was agitated at room temperature for 1 hour. The reagents were then removed by filtration and the resin was rinsed with dichloromethane (2 \times 5 mL) and methanol (2 \times 5 mL).

General procedure for Fmoc deprotection

10 The resin was treated with a solution of piperidine in DMF (20%, 3 mL). The mixture was then agitated for 2 hours at room temperature. Excess solvent was then removed by suction and the resin was rinsed with DMF (2 x 5 mL), dichloromethane (2 x 5 mL) and methanol (2 x 5 mL).

15

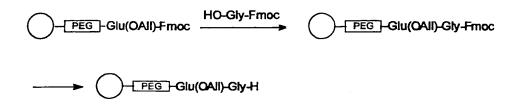
Library Generation

Fmoc-Glu-OAll coupled to NovaSyn TG resin

NovaSyn TG amino resin (0.345g, load 0.29 mmol/g, 0.1 mmol) was suspended and swollen in DMF (2 mL), under agitation (1000 rpm) for 20 30 minutes. Excess solvent was then removed and a solution of Fmoc-Glu-OAll (0.123g, 0.3 mmol, 3 eq), TBTU (0,096g, 0,3 mmol, 3 eq) and DPEA (0,052 mL, 0,3 mmol, 3 eq) in DMF (3 mL) was added to the swollen resin. The resulting mixture was agitated at 1000 rpm at room temperature, overnight. The reaction mixture was filtered 25 and the resin rinsed with DMF (2 x 2 mL), dichloromethane (2 x 5 mL) and methanol (2 x 5 mL).

The resin was then acetylated (see General procedure for acetylation) and deprotected (see General procedure for Fmoc 30 deprotection).

HO-Gly-Fmoc coupled to P-Glu(OAll)-H



The resin was suspended in DMF (2 mL) and allowed to swell for 30 minutes at room temperature, accompanied by agitation (1000 rpm). Excess DMF was removed and a solution of Fmoc-Gly-OH (0.089 g, 0.3 mmol, 3 eq), TBTU (0.096 g, 0.3 mmol, 3 eq) and DPEA (0.052 mL, 0.3 5-mmel--3-eq)-in-DMF-(3-mL)-was-added-te-the-resin. The mixture-was allowed to shake at room temperature for 12 hours. Excess reagents were then removed by filtration and the resin was rinsed with DMF $(2 \times 5 \text{ mL})$, dichloromethane $(2 \times 5 \text{ mL})$ and methanol $(2 \times 5 \text{ mL})$. acetylated The resin was then (see General procedure 10 acetylation) and deprotected (see General procedure deprotection) to afford the resin-bound dipeptide P-Glu(OAll)-Gly-Η.

Split & Mix procedure for the resin bound hexapeptide 15 P-Glu(OAll)-Gly-X₁X₂X₃X₄-H sublibrary

The resin was suspended in 3:1 mixture of 1,2-dichloroethane (DCE) and DMF and equally partitioned into 17 4mL Alltech tubes. Each 20 tube thus contained 0.1/17 mmol = 5.88 10⁻⁶ mol of resin-bound dipeptide. Excess solvent was removed *in vacuo*, and the resin was suspended in DMF (200 mL) and agitated for 30 minutes. The 17 aminoacids (1.76 10⁻⁵ mmol, 3 eq for each step, 7.04 10⁻⁵ mmol for 4 steps) were weighed into 17 vials:

25						
	Fmoc-Ala-OH	22	mg	Fmoc-Met-OH	26	mg
	Fmoc-Asn-OH	25	mg	Fmoc-Phe-OH	27	mg
	Fmoc-Asp(OtBu)-OH	29	mg	Fmoc-Pro-OH	24	mg
	Fmoc-Gln-OH	26	mg	Fmoc-Ser(tBu)-OH	27	mg
30	Fmoc-Glu(OtBu)-OH	30	mg	Fmoc-Thr(tBu)-OH	28	mg
	Fmoc-Gly-OH	21	mg	Fmoc-Trp(BOC)-OH	37	mg
	Fmoc-Ile-OH	25	mg	Fmoc-Tyr(tBu)-OH	32	mg
	Fmoc-Leu-OH	25	mg	Fmoc-Val-OH	24	шĞ
	Fmoc-Lys(BOC)-OH	33	mg			

25

Each amino acid was dissolved in DMF (2 mL); an aliquot of each solution (0.5 mL, corresponding to 1.76 10⁻⁵ mmol, 3 eq of each aminoacid) was added to the appropriate tube. TBTU (1.76 10⁻⁵ mmol 5 x 17 = 2.99 10⁻⁴, 96 mg) and DPEA (1.76 10⁻⁵ mmol x 17 = 2.99 10⁻⁴, 52 mL) were separately dissolved in DMF (1.7 mL) and each solution was evenly distributed, delivering 3 eq of each reagent, to each one of the 17 tubes.

10 The reaction tubes were agitated at room temperature for 12 hours, then the reagents and solvents were removed in vacuo and the resin was rinsed with DMF (2 x 1 mL each tube), DCM (2 x 1 mL each tube) and methanol (2 x 1 mL each tube). The resin was then suspended in 3:1 mixture of 1,2-dichloroethane and DMF and recombined. The 15 recombined resin was acetylated (3 mL of acetylating reagent, 1 hour, room temperature) and deprotected (3 mL of 20% piperidine in DMF, 2 hours, room temperature).

The procedure was repeated 3 more times. At the end of the 4^{th} 20 aminoacid coupling the deprotection step was not executed.

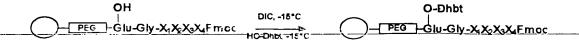
Deprotection of Allyl ester

The resin was suspended in DCM (2 mL), phenylsilane (2.4 mmol, 24 25 eq, 0.29 mL) in DCM (1 mL) of was added and the mixture was shaken at room temperature for 10 minutes.

A catalytic amount of $Pd[PPh_3]_4$ (0.01 mmol, 0.1 eq, 11 mg) in DCM (0.5 mL) was added and the reaction mixture was shaken for further 30 10 minutes.

The reagents were filtered and the resin was rinsed with DCM (2 x 5 mL) and methanol (2 x 5 mL). The procedure was repeated once again and the resin was finally dried under reduced pressure.

Activation of resin with 3-hydroxy-1,2,3-benzotriazin-4(3H)-one(HO-Dhbt)

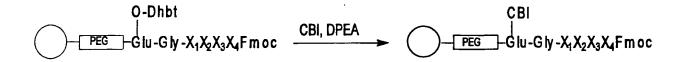


The resin was suspended and swollen in DMF (2 mL) for 10 minutes. Excess DMF was removed and the resin was treated with a solution of 5 diisopropyl carbodiimide (DIC) (156 mL 1 mmol, 10 eq) in DMF (2 mL). The resulting slurry was shaken for 15 minutes at -15°C (acetone-ice bath), then washed with DMF (2 x 2 mL).

The resin was resuspended in DMF (2 mL), cooled to -10° C and 10° treated with HO-Dhbt (163 mg 1 mmol, 10 eq). The mixture was shaken for 30 minutes at -10° C, followed by 4 hours at 0°C and then allowed to stand at 0°C overnight.

The reaction mixture was filtered and the resin washed with DMF (2 \times 2 mL), dichloromethane (2 \times 2 mL), MeOH (2 \times 2 mL) and diethyl ether (2 mL). The activated resin was stored in freezer until required for use.

Coupling of O-Dhbt activated resin with seco-CBI, in presence of 20 DPEA



BOC-seco-CBI (see example 2) was deprotected immediately before coupling to the activated resin. BOC-seco-CBI (100 mg, 0.3 mmol) 25 was dissolved in a solution of HCl in anhydrous ethyl acetate (3M, 15 mL). The mixture was cooled at 0°C for 30 minutes and then allowed to warm to room temperature over 30 minutes. TLC (4:1 = petroleum ether:diethyl ether) revealed complete reaction; the reaction mixture was evaporated under reduced pressure and stored 30 at -20°C.

O-Dhbt-activated resin was treated with seco-CBI dissolved in DMF (2 mL) and the mixture was shaken for 60 minutes at room temperature. The reaction mixture was filtered and the resin was washed with DMF (2 x 2 mL), dichloromethane (2 x 2 mL), methanol (2 x 1 mL) and diethyl ether (2 x 1 mL). The resin was dried under reduced pressure and stored in a cool, dry place.

t-Butyl- and BOC- deprotection on side chains

The resin was treated with a solution of TIS/TFA in dichloromethane 10 (2%, 2 mL). The mixture was shaken for 2 hours at room temperature. Excess reagents were removed *in vacuo* and the resin was rinsed with dichloromethane (2 x 5 mL) and methanol (2x5 mL).

Fmoc deprotection

15



The resin was treated with of a solution of piperidine in DMF(20%, 20 3 mL), and agitated at room temperature for 2 hours. The mixture was filtered and the resin was washed with DMF (2 x 5 mL), dichloromethane (2 x 5 mL) and methanol (2 x 5 mL). The resin was then dried under reduced pressure.

25 Example 4: Synthesis of A CBI Combinatorial Building Block: 7-carboxy-1,2,9,9a-tetrahydrocyclopropa [c]benzo[e]indol-4-one

The synthesis proceeds from a modified Stobbe condensation/Friedel-Crafts acylation for generation of the functionalised precursor, followed by 5-exo-trig aryl radical-alkene cyclization.

30

Wadsworth-Horner-Emmons condensation of 3-bromo-benzaldehyde with the Sargent phosphonate predominantly provides the E-isomer, which in turn undergoes acid-catalyzed deprotection and Friedel-Crafts acylation (Scheme 6).

35

Scheme 6

Br CHO

$$CO_2EI$$
 CO_2EI
 OBn
 OBn

Reagents and conditions: a: NaH, Sargent phosphonate; b: TFA; c: 1)
Ac₂O-KOAc; 2) K₂CO₃; 3) BnBr, K₂CO₃; d: CuCN; e: 1) LiOH; 2) DPPA, tBuOH; f: NIS; g: allyl Br, NaH; h: Bu₃SnH, TEMPO; i: Zn-HOAc; j:
5 Ph₃P-CCl₄; k: NaOH

Aromatic nucleophilic substitution, ester hydrolysis and Curtius rearrangement effected by treatment with DPPA are followed by regioselective C4 iodination and N-alkylation with allyl bromide.

10 The aryl radical-alkene cyclization by means of TEMPO as radical trap, as described in Boger synthesis of CBI, provides the tricyclic system that, after conversion to the primary chloride and base-catalized hydrolysis of the cyano group, should give the desired building block for a combinatorial library.

Example 5: Screening of CBI on bead against DNA sequence

The CBI on bead synthesised in example 1 was screened against rhodamine labelled double stranded DNA with the sequence:

5 Label-5'-GCG-TAA-AAA-CGC-3'.

For comparison, indeline was added to O-Dhbt-activated TG Carboxy resin (as prepared in example 1) to yield a comparative resin that does not covalently interact with DNA.

10

The resin alone was also tested to provide a background measurement. The screening protocol used was:

- 1. Weigh out approximately 1 mg of resin into an Eppendorf.
- 15 2. Incubate the resin with annealed rhodamine labelled double strand DNA for 24 hours at 37°C.
 - 3. After 24 h incubation wash the resin 4 times and re-suspend beads in 50 mL of TE (EDTA and Tris buffer) or PBS (phosphate buffered saline).
- 20 4. Resin was transferred to a black 96 well plate with transparent base, fluorescence was measured from below at 635 nm using a Tecan Spectrofluor (590 nm excitation, 635 nm emission).

	Resin	Relative Fluorescence Units
25	TG Carboxy Resin	892
	Indoline Bound to Resin	625
	CBI Bound to Resin	2947

Only a small amount of DNA binding was observed with the acid resin 30 and with the resin loaded with an inactive indoline CBI mimic. An almost five fold differential was observed between the CBI resin and Indoline resin demonstrating DNA binding by the resin bound CBI. It is anticipated that a higher concentration of DNA would lead to a higher differential between the resins as it is possible

that the CBI-resin is not saturated with DNA at this concentration.

CLAIMS

1. A compound of formula I:

$$\begin{array}{c}
X \\
R_2 \\
\hline
A \\
A \\
B \\
5 \\
6 \\
R_7
\end{array}$$
(I)

wherein X is an electrophilic leaving group;

5 Y is selected from NH-Prot, O-Prot, S-Prot, NO₂, NHOH, N₃, NHR, NRR, N=NR, N(O)RR, NHSO₂R, N=NPhR, SR or SSR, where Prot represents a protecting group;

A and B collectively represent a fused benzene or pyrrole ring (in either orientation), which is optionally substituted by up to

10 respectively 4 or 2 groups independently selected from R, OH, OR, halo, nitro, amino, Me₃Sn, CO₂H, CO₂R;

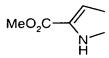
 R_1 is a nitrogen protecting group, where if Y includes a protecting group, these protecting groups are orthogonal;

 R_2 and R_7 are independently selected from H, R, OH, OR, halo, nitro,

15 amino, Me₃Sn;

wherein R is selected from

- (a) a lower alkyl group having 1 to 10 carbon atoms,
- (b) an aralkyl group (i.e. an alkyl group with one or more aryl substituents), preferably of up to 12 carbon atoms;
- 20 the alkyl group of (a) or (b) optionally containing one or more carbon-carbon double or triple bonds, which may form part of a conjugated system; and
 - (c) an aryl group, preferably of up to 12 carbon atoms; and wherein R is optionally substituted by one or more halo, hydroxy,
- 25 amino, or nitro groups, and optionally contains one or more hetero atoms, which may form part of, or be, a functional group; except that when R₁ is Boc, Y is NO₂, X is Cl, and R₂ and R₃ are H, then A and B do not collectively represent either an unsubstituted benzene ring or:



- 2. A compound according to claim 1, wherein R is independently selected from a lower alkyl group having 1 to 10 carbon atoms, or an aralkyl group, preferably of up to 12 carbon atoms, or an aryl 5 group, preferably of up to 12 carbon atoms, optionally substituted by one or more halo, hydroxy, amino, or nitro groups.
- 3. A compound according to claim 2, wherein R is independently selected from lower alkyl groups having 1 to 10 carbon atoms 10 optionally substituted by one or more halo, hydroxy, amino, or nitro groups.
 - 4. A compound according to claim 3, wherein R is an unsubstituted straight or branched chain alkyl group, having 1 to 10 carbon atoms.

15
5. A compound according to any one of the preceding claims, wherein $R_{\rm i}$ has a carbamate functionality where it binds to the nitrogen atom of the CPI.

- 20 6. A compound according to any one of the preceding claims, wherein Y is NH-Prot, O-Prot or S-Prot.
 - 7. A compound according to claim 6, wherein Y is NH-Prot.
- 25 8. A compound according to any one of the preceding claims, wherein X is either halogen or OSO₂R.
- 9. A compound according to any one of the preceding claims, wherein the 4,5 fused ring is substituted by -CO₂R in the 2 or 3 30 position if it is a benzene ring, or in the 2 position if it is a pyrrole ring.
 - 10. The use of compounds of formula I:

$$\begin{array}{c}
X \\
R_2 \\
\hline
A & 1 \\
\hline
A & 1 \\
\hline
B & 5 \\
\hline
G & R_7
\end{array}$$
(I)

wherein X is an electrophilic leaving group;

Y is selected from NH-Prot, O-Prot, S-Prot, NO₂, NHOH, N₃, NHR, NRR, N=NR, N(O)RR, NHSO₂R, N=NPhR, SR or SSR, where Prot represents a protecting group;

5 A and B collectively represent a fused benzene or pyrrole ring (in either orientation), which is optionally substituted by up to respectively 4 or 2 groups independently selected from R, OH, OR, halo, nitro, amino, Me₃Sn, CO₂H, CO₂R;

 R_1 is a nitrogen protecting group, where if Y includes a protecting

10 group, these protecting groups are orthogonal;

 R_2 and R_7 are independently selected from H, R, OH, OR, halo, nitro, amino, Me₃Sn;

wherein R is selected from

- (a) a lower alkyl group having 1 to 10 carbon atoms,
- 15 (b) an aralkyl group (i.e. an alkyl group with one or more aryl substituents), preferably of up to 12 carbon atoms; the alkyl group of (a) or (b) optionally containing one or more carbon-carbon double or triple bonds, which may form part of a conjugated system; and
- 20 (c) an aryl group, preferably of up to 12 carbon atoms; and wherein R is optionally substituted by one or more halo, hydroxy, amino, or nitro groups, and optionally contains one or more hetero atoms, which may form part of, or be, a functional group; in methods of combinatorial chemistry synthesis, wherein the
- 25 compound of formula I is joined to a solid support by a chain comprising at least one combinatorial unit.

11. A compound of formula III:

wherein X, Y, A, B, R_2 and R_7 are as defined in claim 10; T is a combinatorial unit;

and n is a positive integer, where if n is greater than 1, each T may be different;

5 L is a linking group, or less preferably a single bond; and O is a solid support.

12. A compound of formula III':

$$\begin{array}{c} R_{2} \\ N \\ T \\ R_{7} \end{array} \qquad (IIII')$$

where A, B, R_2 , R_7 , T, n, L and O are as defined in claim 11; and 10 Y' is NH, O or S.

13. A compound of formula II:

wherein X, Y, A, B, R_2 , R_7 , T and n are as defined in claim 11.

14. A compound of formula II':

$$R_2$$
 $N - T \rightarrow H$
 R_7
(II')

wherein A, B, T, n, R2, R7 and Y1 are as defined in claim 12.

15. A compound of formula V:

5

where A, B, Y, R_1 , R_2 , and R_7 , are as defined in claim 10 and T, n, L and O are as defined claim 11.

16. A compound of formula V':

10

where A, B, R_1 , R_2 , and R_7 are as defined in claim 10 and and T, n, L, Y^1 and O are as defined in claim 12.

17. A compound of formula IV:

$$H + T \rightarrow N$$
 R_2
 $N \rightarrow R_1$
 R_7
 R_7

wherein A, B, X, Y, R_{1} , R_{2} and R_{7} are as defined in claim 10 and T and n are as defined in claim 11.

18. A compound of formula IV':

$$H - T - N$$
 R_2
 $N - R_1$
 R_7
(IV')

wherein A, B, T, n, R, R, and R, are as defined in claim 17 and Y^1 is NH, O or S.

19. A method of preparing a compound according to claim 11 by 10 reaction of a compound of formula **VI**:

$$-$$
L $-$ T $-$ n W (VI)

with a compound of formula I:

5

where A, B, R_2 , R_7 , T, n, L and O are as defined in claim 11 , and W is H or an atom or group for providing a functional group capable of reaction with $-NH_2$.

5 20 A method of preparing a compound according to claim 15, by reaction of a compound of formula VI:

$$-$$
L $-$ (VI)

with a compound of formula I according to claim 9, where T, n, L and O are as defined in claim 15, and W is H or an atom or group for 10 providing a functional group capable of reaction with -COOH.

21. 1A compound of formula VII:

wherein:

O, T, and L are as defined in the claim 11;

15 n and m are positive integers, or one of them may be zero;
 T' is a combinatorial unit, where each T' may be different if m is
 greater than 1;

T'' is a combinatorial unit which provides a site for the attachment of D;

20 D is selected from:

(a)

(ii)
$$R_{1}$$
 R_{2}
 R_{3}
 R_{4}
 R_{2}
 R_{3}
 R_{4}
 R_{5}
 R_{7}
 R_{1}
 R_{2}
 R_{3}
 R_{4}
 R_{5}
 R_{7}
 R_{1}
 R_{2}
 R_{3}
 R_{4}
 R_{5}
 R_{7}

wherein A, B, Y, $R_{\text{1}},\ R_{\text{2}}$ and R_{7} are as defined in claim 10 and Y' is NH, O or S;

(b)

wherein X' is selected from CO, NH, S, or O;

G is O, S, NH, or a single bond;

5 R'₂ and R'₃ are independently selected from: H, R, OH, OR, =0, =CH-R, =CH₂, CH₂-CO₂R, CH₂-CO₂H, CH₂-SO₂R, O-SO₂R, CO₂R, COR and CN, and there is optionally a double bond between C_2 and C_3 ;

 R'_{6} , R'_{7} , and R'_{9} are independently selected from H, R, OH, OR, halo, nitro, amino, Me₃Sn;

10 R'11 is either H or R;

Q' is S, O or NH;

R'10 is a nitrogen protecting group;

Y" is a divalent group such that HY = R;

p is a positive integer, where if p is greater than 1, for each

15 repeating unit, the meaning of T, T', T'' and D and the values of n and m are independently selected; and

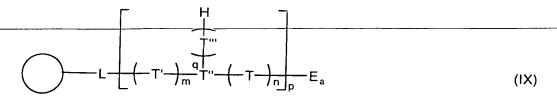
E is selected from the same possibilities as D; provided that at least one group D or E is selected from (a).

20 22. A compound of formula (VIII):

$$H = \left(-T' - \frac{D}{m} T'' - \left(-T - \frac{D}{m} \right) \right) E \qquad (VIII)$$

wherein L, T, T', T'', D, E, n, m and p are as defined in claim 21.

23. A compound of formula (IX):

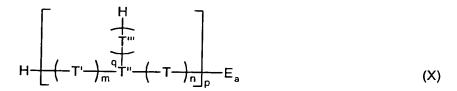


wherein O, L, T, T', T'', n, m and p are as defined in claim 21; 5 T''' is a combinatorial unit;

 ${\bf q}$ is a positive integer, where if ${\bf q}$ is greater than 1, each ${\bf T}'\,{}''$ may be different; and

E_a is selected from the group (a) of E as defined in claim 21; where if p is greater than 1, for each repeating unit the meaning of 10 T, T', T", T"' and the values of n, m and q are independently selected.

24. A compound of formula (X):



wherein L, T, T', T", T"', E_a , n, m, p and q are as defined in claim 15 23.

- 25. A collection of compounds all of which are represented by either:
- (i) formula III as defined in claim 11;
- 20 (ii) formula III' as defined in claim 12;
 - (iii) formula II as defined in claim 13;
 - (iv) formula II' as defined in claim 14;
 - (v) formula v as defined in claim 15;
 - (vi) formula v' as defined in claim 16;
- 25 (vii) formula **rv** as defined in claim 17;

- (viii) formula IV' as defined in claim 18;
- (ix) formula VII as defined in claim 21;
- (x) formula VIII as defined in claim 22;
- (xi) formula IX as defined in claim 25; or
- 5 (xii) formula \mathbf{x} as defined in claim 24.
 - 26. A method of preparing a collection of compounds as defined in claim 25.
- 10 27. A method of screening compounds of:
 - (i) formula II as defined in claim 13;
 - (ii) formula II' as defined in claim 14;
 - (iii) formula IV as defined in claim 17;
 - (iv) formula IV' as defined in claim 18;
- 15 (v) formula **VIII** as defined in claim 22; or
 - (vi) formula ${\bf x}$ as defined in claim 24; to discover biologically active compounds.
 - 28. The use of a compound of:
- 20 (i) formula II as defined in claim 13:
 - (ii) formula II' as defined in claim 14:
 - (iii) formula IV as defined in claim 17;
 - (iv) formula IV' as defined in claim 18;
 - (v) formula VIII as defined in claim 22; or
- 25 (vi) formula **x** as defined in claim 24; in the manufacture of a cytotoxic, antibiotic, antiparasitic or antiviral therapeutic composition.
 - 29. The use of a compound of:
- 30 (i) formula III as defined in claim 11;

- (ii) formula III' as defined in claim 12;
- (iii) formula V as defined in claim 15;
- (iv) formula V' as defined in claim 16;
- (v) formula VII as defined in claim 21; or
- 5 (vi) formula **IX** as defined in claim 23; in a method of diagnosis.
 - 30. The use of a compound of:
 - (i) formula II as defined in claim 13;
- 10 (ii) formula II' as defined in claim 14;
 - (iii) formula IV as defined in claim 17;
 - (iv) formula IV' as defined in claim 18;
 - (v) formula VIII as defined in claim 22; or
 - (vi) formula x as defined in claim 24;
- 15 in a method of target validation or functional genomics.

P. 16800/0329 Mewburn Ellic 24/8/05.

..... PAGE BLANK (USPTO)